



08-20-03

RCE/2800 #  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert A. Morgan et al.

Serial No.: 09/751,423

Examiner: Willie, Douglas A.

Filed: December 29, 2000

Group Art Unit: 2814 ✓

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC  
DEVICE

Docket No.: H16-26549 (1139.1149101 formerly 1100.1114101)

**TRANSMITTAL SHEET**

**Mail Stop RCE**

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV315608921US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 19th day of August, 2003.

By Lynn Thompson  
Lynn Thompson

We are transmitting herewith the attached:

- ☒ Request for Continued Examination (RCE)
- ☒ Request for a Three-Month Extension of Time.
- ☒ Preliminary Amendment in response to final office action of February 19, 2003
- ☒ No additional fee required
- ☒ Copies of 2 previously submitted 1449s.
- ☒ Fee Transmittal For FY 2003 authorizing payment in the amount of \$1680.00 (\$750 RCE ) (\$930 3-month extension) to be charged to Honeywell Deposit Account 01-1125.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

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Approved for use 10/31/2002. UMS 0001-0032

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**PTO**  
**OFFICE OF**  
**INVENTION**  
**TRADEMARK**

**FREE TRANSMITTAL**  
**For FY 2003**

Short fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** \$1680.00

## COMPLETE IF KNOWN

Application No.	09/751,423
Filing Date	December 29, 2000
First Named Inventor	Robert A. Morgan
Group Art Unit	2814
Examiner Name	Willie, Douglas A.
Attorney Docket No.	H16-26549 (1139.1149101)

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other
☒ Deposit AccountDeposit  
Account  
Number

01-1125

Deposit  
Account  
Name

honeywell international inc

The Commissioner is hereby authorized to (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayment☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-designated deposit account

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fees Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	85	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,250	For filing a request for ex parte reexamination	
1804	920*	1804	820*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,640*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,870	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	200	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	850	Utility issue fee (or fee waiver)	
1502	470	2502	235	Design issue fee	
1503	830	2503	312	Plant issue fee	
1400	130	1480	130	Publication to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(e)	
1808	180	1808	180	Submission of information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a continuation after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	750
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee	

Other fees (specify):

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$1680.00

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fees Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1001	750	2001	375	Utility Filing Fee	750
1002	330	2002	165	Design Filing Fee	
1003	580	2003	290	Plant Filing Fee	
1004	750	2004	375	Resubmit Filing Fee	
1005	100	2005	50	Provisional Filing Fee	
SUBTOTAL (1)					\$0.00

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fees from Basic	Fees Paid
1000	1000	15.00	
1001	1001	15.00	
1002	1002	15.00	
1003	1003	15.00	
1004	1004	15.00	
1005	1005	15.00	

Large Entity		Small Entity		Fee Description	Fees Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim	
1204	84	2204	42	Resubmit independent claims over original patent	
1205	18	2205	9	Resubmit claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

\*number previously used if greater, see above for Resubmit

<b>SUBMITTED BY</b>		<b>Completed (if applicable)</b>	
Name (Print / Type)	Anthony Mologos	Registration No. (Attorney/Agent)	29,877
Signature	<i>Anthony Mologos</i>	Telephone	602-313-5683
		Date	8/19/03

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